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JC769 U.S. PTO
11/27/01

Practitioner's Docket No. 782_197

PATENT

JC950 U.S. PTO
09/994370
11/27/01

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202** on November 27, 2001 under "EXPRESS MAIL" mailing label number **EL650149261US**.

Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

Transmitted herewith for filing is the patent application of:

Inventor 1: Yuji HORI
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 2: Tomohiko SHIBATA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 3: Mitsuhiro TANAKA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 4: Osamu ODA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Title: A SEMICONDUCTOR LIGHT-EMITTING ELEMENT

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification
- 3 Page(s) of Claims
- 1 Page(s) of Abstract
- 2 Sheets of Formal Drawings (Figs. 1-3)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

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2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and certified copies of:

Japanese Application 2000-364,268 filed November 30, 2000 and
 Japanese Application 2001-321,052 filed October 18, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
 2-56, Suda-Cho, Mizuho-Ku
 Nagoya, Aichi-Prefecture 467-8530
 Japan

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
 Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

A. Filing Fee	
<input checked="" type="checkbox"/> Original Patent Application <small>(37 C.F.R. 1.16(a) --\$740.00, Small Entity--\$370.00)</small>	\$ <u>740.00</u>
B. Fees for Claims (17 Claims; 1 Indep.)	
<input type="checkbox"/> each independent claim in excess of 3 <small>(37 C.F.R. 1.16(b) --\$84.00; small entity--\$42.00)</small>	\$ <u> .00</u>
<input type="checkbox"/> each claim in excess of 20 <small>(37 C.F.R. 1.16(c) --\$18.00, small entity--\$9.00)</small>	\$ <u> .00</u>
<input type="checkbox"/> multiple dependent claim(s) <small>(37 C.F.R. 1.16(d) --\$280.00; small entity--\$140.00)</small>	\$ <u> .00</u>
C. Surcharge fees	
<input checked="" type="checkbox"/> late payment of filing fee <small>(37 C.F.R. 1.16(e) --\$130.00, small entity--\$65.00)</small>	\$ <u>130.00</u>
and/or	
<input checked="" type="checkbox"/> late filing of original declaration or oath <small>(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)</small>	\$ <u> .00</u>
E. <input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>


Total Fees Due \$ 910.00

025191-025191

**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

November 27, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

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